



#11 B  
9-17-01  
p.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

SEP 17 2001

TECH CENTER 1600/2900

Applicant of: Jon A. Wolff, )  
Vladimir S. Trubetskoy, )  
Sean D. Monahan, James E. Hagstrom, )  
Paul M. Slattum, Vladimir G. Budker, )  
Aaron G. Loomis )

Serial No.: 09/328,975 )

Examiner: Richard Schnizer

Filed: 6/9/99 )

Group Art Unit: 1632 )

For: Charge Reversal of Polyion Complexes

AMENDMENT UNDER 37 C.F.R. § 1.111

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

This Amendment responds to the Office Action dated April 25, 2001. Kindly amend the application as follows:

In the Claims:

Applicants hereby submit a version with markings to show changes made:

Please amend claims 1, 8, 10, 12-18 and add claim 19 as follows:

- 1) (Amended) [A process for delivering a complex to a cell, comprising:
  - a) forming the complex having a net charge comprising a nucleic acid and a polymer in a solution;
  - b) attaching a charged polymer to the complex in sufficient amount to change the net charge;
  - c) inserting the complex into a mammal;
  - d) delivering the complex to the cell; and,
  - e) expressing the nucleic acid.]

A process for delivering a nucleic acid to a cell, comprising: